## Spring 2016 Johns Hopkins University/SAIS

# SA.400.766.01 CHINA IN AFRICA SYLLABUS

<b>Professor:</b>	Deborah Bräutigam	
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Office Hours: Tuesdays 2:00-4:00 (sign up with Signup Genius). I can sometimes also be		
available to meet you at other times. Contact Ada Ho for an appointment.		

## **Course Description**

This International Development Program seminar undertakes critical examination of China's rapidly growing economic, political, and social ties with African countries, in comparative and historical perspective. We focus on the actors, institutions, domestic and international factors driving this engagement, and the impact it has on development prospects in Africa. Each week we will have from 100 to 150 pages of reading. The class is structured as a seminar. Therefore, there will be few lectures, although the professor and outside speakers will contribute expertise to our discussions.

# **Learning Objectives**

 To understand differences among "investment" "trade" and "aid" and be able to discuss significant trends and limitations in the data used to analyze the China-Africa relationship.
To deepen understanding of Chinese tools and instruments used to promote African engagements.

To improve critical reading skills and ability to probe an argument and evaluate evidence.
To be able to use original primary materials to conduct analysis of a specific topic in China-Africa relations, either as a scholarly research paper, or as policy briefs.

As you do the readings, be sure you can answer these questions: (1) What is the research question (if any)? (2) What is this author(s) main argument? (3) What is the nature of the evidence this author brings to bear on his/her question? (4) Is there a clear methodology? (5) How solid are the findings, given the research design and methodology? (6) What are the paper's strengths and weaknesses? (7) What are the important policy implications?

# Academic Integrity (from the **SAIS Red Book**):

Plagiarism is defined as "the presentation of someone else's ideas or words as your own" (The Allyn & Bacon Handbook). JHU sets forth the following guidelines:

- Students should document any idea that is derived from another and any fact that is important to their analysis and is not common knowledge by citing the author, title, edition and page reference of the work in which they appear. Ideas and facts derived from Internet sources are to be held to the same standard. Familiar or noncontroversial facts need not be annotated.
- Any crucial term and any phrase, sentence or longer passage derived from a text other than the student's own should be enclosed in quotation marks or typed as a separate, indented paragraph and identified in the text or in a footnote.
- When a student paraphrases someone else's analysis or follows someone else's line of reasoning, including arguments that the student may only have heard and not seen in print, the student should acknowledge that fact in the text or in a footnote. The diction and phrasing should not duplicate or closely parallel the language of the source, but should show the student can digest rather than just repeat what was heard.

## Required Textbooks:

- (1) Deborah Brautigam (2011) *The Dragon's Gift: The Real Story of China in Africa* Oxford: Oxford University Press (revised and updated paperback edition);
- (2) Deborah Brautigam (2015) Will Africa Feed China? New York: Oxford University Press.

<u>Recommended Books</u>: These are on reserve. If you are a China scholar, please read the Stephen Ellis book. If you are an Africa scholar, please read the Odd Arne Westad book. If you don't know China or Africa very well, it would be helpful for you to read both of these *before the class begins*.

- Odd Arne Westad (2012) *Restless Empire: China and the World since 1750*. New York: Basic Books.
- Stephen Ellis (2012) Season of Rain Chicago: University of Chicago Press.
- David Shinn and Joshua Eisenman (2012) *China and Africa: A Century of Engagement,* University of Pennsylvania Press. Selected chapters provide historical depth and breadth on issues we are not covering closely, such as military and security ties.

# **Requirements:**

(1) Active Participation. Prepare for class by doing *all* the required readings and taking notes. Students will send a copy of their reading notes to me at the latest by noon the day of class. Those who must miss class due to an excused travel obligation or illness should also submit their reading notes on the day of class (or a day after recovering from an illness).

(2) Discussion Points/Questions. In preparing for each class, you must submit along with your reading notes a separate document with at least three questions about things that you did not understand when doing the reading (you can list more), or three critical points where you disagree or want to challenge a reading, or three interesting observations that came out of your reading – or any combination of these. You can use these to ask for further information or to

stimulate discussion. This is also due by noon the day of class (as I will try to read these before class, please submit earlier if possible).

(3) Kick-starter. Starting with week 2, students will each choose one week in which they will be responsible for reading one of the *recommended* readings and briefing the class on this reading (no more than 5 minutes), and then posing discussion questions from the entire set of readings to kick-start our discussion. (Please sign up for this under "Discussions" on Blackboard.) If you would like to present a reading not on the list, that would be great – but clear it first with me. By 10 pm the evening before the class, the kick-starter will have coordinated with me, and then circulated by email to class participants, no fewer than five discussion questions for the class. Each discussion question should be followed by two or three sentences that provide further context or reasons that make it clear why you thought this would be an interesting thing to discuss.

(4) A substantial and original research paper OR three policy briefs. An original research paper of about 6000 words, using primary materials -- OR three policy briefs -- will count for 75% of your grade; the rest of the grade will be based upon class participation, your reading notes, and discussion comments. Guidelines for writing this paper or the three policy briefs can be found on Blackboard. Please note that the field is moving very rapidly. Although there are a few exceptions, work on China's current engagement in Africa published before 2009 is not likely to be very useful for your research. Check with me if you are uncertain. The paper and policy briefs will be submitted on Blackboard, through the "Turn it in" portal, which will check for appropriate citation practices. The best papers and policy briefs will have an opportunity of being published in our <u>SAIS-CARI Policy Brief and Working Paper</u> series.

# Paper due dates:

(1) One page: description of the topic, specific research question,			
proposed methodology, and 10 item (minimum) bibliography			
OR first policy brief	Week 5 (Sunday)		
(2) Individual meetings with professor on research paper and policy briefs	Weeks 3-8		
(3) Second policy brief	Week 9 (Sunday)		
(4) Submit draft paper for feedback (optional)	Weeks 10-11 only		
(5) Final paper or policy brief	Week 13 (Sunday)		

## Late work will be penalized unless arrangements are made a week in advance.

It is recommended that you read the readings in the order presented in the syllabus. This allows for introductory material to be read first.

# I. BACKGROUND AND TOOLS FOR ANALYSIS

## Week 1. Setting the Stage

**January 27** 

Brautigam, D. 2011. "Prologue," The Dragon's Gift, pp. 1-21.

Mawdsley, Emma. 2008. "Fu Manchu versus Dr Livingstone in the Dark Continent? Representing China, Africa and the West in British Broadsheet Newspapers," *Political Geography*, 27 (5), pp. 509-29.

Naím, Moisés. 2007. "Rogue aid," Foreign Policy, March/April 2007, n. 159: 95-96.

Brautigam, Deborah. 2011. Ch. 10 "Rogue Donor: Myths and Realities," in The Dragon's Gift.

State Council. "China's Second Africa Policy Paper," December 4, 2015 http://news.xinhuanet.com/english/2015-12/04/c 134886545.htm

Kennedy, Scott. 2010. "The Myth of the Beijing Consensus," *Journal of Contemporary China*, 2010, pp. 461-477.

Recommended for further reading:

- McKinnon, Ronald I. 2010. "China in Africa: The Washington Consensus versus the Beijing Consensus," *International Finance*, 13, 3: 495–506.
- Lia, Xin, Kjeld Erik Brødsgaard & Michael Jacobsen. 2010. "Redefining Beijing Consensus: Ten Economic Principles," *China Economic Journal*, 2, 3: 297-311.
- Williamson, John. 2012. "Is the 'Beijing Consensus' Now Dominant?" *Asia Policy*, 13, January: 1-16.
- Ferchen, Matt. 2013. "Whose China Model is it anyway? The contentious search for consensus," *Review of International Political Economy*, Special Issue: Dreaming with the BRICS? The Washington Consensus and the New Political Economy of Development, 20, 2: 390-420.

Zhang, Yongjin. 2014. 'China Anxiety': Discourse and Intellectual Challenges," *Development and Change*, 44, 6, pp. 1407–1425.

## Week 2. History of China-Africa Engagement

## February 3

- 1. Do any of the patterns developed in early China-Africa relations still reverberate today? Which seem to have changed? Why?
- 2. What, if anything, was transferred from China to Africa in the first decades after 1949?
- 3. What were the key events and facts of this period that shaped the current period (c2000 onward)?
- Snow, Philip. 1988. "The Chinese as Missionary," and "Idealism versus Statecraft," in *The Star Raft: China's Encounter with Africa*, New York: Cornell University Press: 69-143. Please note that this book is only available on reserve in the SAIS library. Plan ahead to read these chapters.
- Brautigam, Deborah. 2011. Ch. 1 "Missionaries and Maoists," and Ch. 2 "Feeling the Stones," in *The Dragon's Gift*, pp. 22-70.
- Harris, Karen L. 2010. "Anti-Sinicism: Roots in Pre-industrial Colonial Southern Africa," *African and Asian Studies* (2010) 9, 213-231.
- Ampiah, Kweku. 1989. "Sino-African Relations: A history of racial tension," *West Africa*, January 16-22, p. 58.

Recommended for further reading:

Yu, George T. 1966. "China's Failure in Africa," Asian Survey, 6, 8 (August 1966), pp. 461-68.

- Monson, Jamie. 2009. *Africa's Freedom Railway: How a Chinese development Project Changed Lives and Livelihoods in Tanzania*. Indiana University Press. Chapter 3, "Building the People's Railway," pp. 35-70.
- Adie, W.A.C. 1964. "Chou En-lai on Safari," *The China Quarterly*, no. 18 (April-June), pp. 174-94.
- Large, Daniel. 2008. "Beyond 'Dragon in the Bush': The Study of China-Africa Relations." *African Affairs* 107, 426: 45-61.

## Week 3. China Going Global: Actors and Institutions February 10

- Brautigam, Deborah. 2011. Ch. 3 "Going Global," and Ch. 4 "Eastern Promises," in *The Dragon's Gift*: 43-127.
- Taylor, Ian and Y. Xiao. 2009. "A Case of Mistaken Identity: 'China, Inc. and its "Imperialism' in Sub-Saharan Africa," *Asian Politics and Policy* 1, 4: 709-25.
- McGregor, Richard. 2010. "China, Inc.: The Party and Business," in *The Party: The Secret World of China's Communist Rulers* New York: Harper, 2010: 34-69.
- Bergsten, C. Fred, Charles Freeman, Nicholas R. Lardy and Derek J. Mitchell. 2008. "Center-Local Relations: Hu's in Charge Here?" in Bergsten, Freeman, Lardy and Mitchell, China's Rise: Challenges and Opportunities Washington, DC: Peterson Institute for International Economics: 75-89.
- Chen, Zhimin, Jian Junbo and Chen Diyu. 2010. "The Provinces and China's Multi-layered Diplomacy: The Cases of GMS and Africa," *The Hague Journal of Diplomacy*, 5: 331-337 and 343-356. [i.e. **skip the GMS case study**.]

#### Recommended for further reading:

- Gill, Bates and James Reilly. 2007. "Tenuous Hold of China, Inc. in Africa," *Atlantic Quarterly* 30, no. 3 (Summer 2007): 37–52.
- Chen Zhimin and Jian Junbo. 2009. "Chinese Provinces as Foreign Policy Actors in Africa," Occasional Paper No. 22, South African Institute of International Affairs (January), pp. 1-26 (quite similar to their article above).
- Levkowitz, Lee, Marta McLellan Ross, and J. R. Warner. 2009. "<u>The 88 Queensway Group: A</u> <u>Case Study in Chinese Investors' Operations in Angola and Beyond</u>," U.S.-China Economic and Security Review Commission, 10 July.

#### Week 4. Data: Trade, Aid, Finance, and Investment

#### February 17

Don't get alarmed: a lot of these readings are very short.

State Council. (2013). "<u>China-Africa Trade and Economic Relationship Annual Report (2013)</u>." Beijing, Information Office of the State Council, the People's Republic of China, August. Visit and browse around the China Investment Tracker.

<u>http://www.heritage.org/research/projects/china-global-investment-tracker-interactive-map</u> Visit and browse around the China Aid Data website. http://china.aiddata.org/ Brautigam, Deborah. Ch. 6, "Apples and Lychees," in *The Dragon's Gift*, pp. 131-188. Brautigam, Deborah. "Rubbery Numbers on Chinese Aid,"

http://www.chinaafricarealstory.com/2013/04/rubbery-numbers-on-chinese-aid.html

State Council. 2014. "China's Foreign Aid," Beijing, People's Republic of China http://en.people.cn/n/2014/0710/c90883-8753777.html

- Haugen, Heidi Østbø. 2011. "Chinese Exports to Africa: Competition, Complementarity and Cooperation between Micro-Level Actors," Forum for Development Studies, v. 38, no. 2 (June 2011), pp. 157-76.
- Sutherland, Dylan and John Anderson. 2015. "The Pitfalls of Using Foreign Direct Investment Data to Measure Chinese Multinational Enterprise Activity," *The China Quarterly* 221, March 2015: 21-48.
- Ketkar, Suhas and Dilip Ratha, "Securitization of Future Flow Receivables: A Useful Tool for Developing Countries," *Finance & Development*, March 2001, 38, 1 http://www.imf.org/external/pubs/ft/fandd/2001/03/ketkar.htm
- Global Witness. 2009. "Oil-backed loans to Angola," in *Undue Diligence: How Banks do Business with Corrupt Regimes*, March: read only pp. 90-104 <u>https://www.transparency.de/fileadmin/pdfs/Themen/Finanzmarkt/Undue\_Diligence\_Report</u> Global Witness.pdf

Recommended for further reading:

- Downs, Erica. 2011. "<u>China Development Bank's Oil Loans: Pursuing Policy and Profit</u>," in: *China Economic Quarterly*, December, 43–47.
- Kitano, N. (2014). "China's Foreign Aid at a Transitional Stage," *Asian Economic Policy Review*, 9(2), 301-317.
- Xu, J, & Carey, R. 2014. "China's Development Finance: What Issues for Reporting and Monitoring Systems?" *IDS Bulletin*, 45(4), 102-113.
- Brautigam, Deborah and Kevin Gallagher. 2014. "Bartering Globalization: China's Commoditybacked Finance in Africa and Latin America," *Global Policy* 5, 4.
- Alves, Ana C. 2013. "China's 'win-win' cooperation: Unpacking the impact of infrastructure-forresources deals in Africa," *South African Journal of International Affairs*.
- Brautigam, Deborah. 2011. Aid 'With Chinese Characteristics': Chinese Foreign Aid And Development Finance Meet The OECD-DAC Aid Regime," *Journal of International Development*

## Week 5: Implementation: How Chinese Aid & Loans Really Work February 24

Brautigam, Deborah. 2011. Ch. 5 "Orient Express,"

- Shimomura, Yasutami and Wang Ping. 2013. "The evolution of 'aid, trade, investment' synthesis in China and Japan," in Jin Sato and Yasutami Shimomura, eds. *The Rise of Development Donors in Asia: Japan's Impact on the Rise of Emerging Donors*, New York: Routledge, pp. 114-131.
- Chen, C., Goldstein, A., & R. J. Orr. 2009. "Chinese contractors in Africa: Home government support, coordination mechanisms, and market entry strategies, *Journal of Construction Engineering and Management* 11: 1201-1210.

- Driessen, Miriam. 2015. "The African Bill: Chinese Struggles with Development Assistance," *Anthropology Today*, 32 (1), pp. 3-7.
- Eidt, Vanessa. 2012. "Assessing the Strategic Alliance between Industrial and Commercial Bank of China and Standard Bank," *The China Monitor* (March), issue 69, pp. 10-15. http://www.ccs.org.za/wp-content/uploads/2012/03/China Monitor MARCH 2012.pdf
- Sun, Yun. 2015. "The domestic controversy over China's foreign aid and the implications for Africa. Brookings blog post. October 8. <u>http://www.brookings.edu/blogs/africa-in-focus/posts/2015/10/08-domestic-controversy-china-foreign-aid-africa-sun</u>
- Liu, P, Guo, Y, Qian, X, Tang, S, Li, Z, & Chen, L. 2014. "China's Distinctive Engagement in Global Health," *The Lancet*, 384 (9945): 793-804.
- Penfold, Erica and Pieter Fourie. 2014. "Ebola and Cultures of Engagement: Chinese Versus Western Health Diplomacy," South African Institute of International Affairs (9 October). See at <u>www.saiia.org.za/opinion-analysis/ebola-and-cultures-of-engagement-chinese-versuswestern-health-diplomacy/</u>
- Ross, Elliot. 2014. "China's Stadium Diplomacy in Africa," http://roadsandkingdoms.com/2014/chinas-stadium-diplomacy-in-africa/

- Alves, Ana Cristina. 2013. "Chinese Economic Statecraft: A Comparative Study of China's Oilbacked Loans in Angola and Brazil," *Journal of Current Chinese Affairs*, 42, 1, 99-130.
- Mullins, Deborah Wei. 2009. "The alternative of Chinese aid in Africa: impacts of China's development strategy through Chinese aid, finance, and firms in Kenya," master's thesis, MIT, 2009 <u>http://dspace.mit.edu/handle/1721.1/46674</u>
- Haugen, Heidi Østbø. 2013. "China's recruitment of African university students: policy efficacy and unintended outcomes," *Globalisation, Societies and Education*, 11, 3, pp. 315-334.
- Wu, Friedrich and Koh De Wei. 2014. "From Financial Assets to Financial Statecraft: The Case of China and Emerging Economies of Africa and Latin America," *Journal of Contemporary China*, 23 (89), pp. 781-803.
- King, Kenneth. 2013. "China's Soft Power in Africa: Past, Present and Future," in *China's Aid* and Soft Power in Africa: The Case of Education and Training (Suffolk: James Currey, 2013), pp. 172-207.

# **II. ISSUES AND CONTROVERSIES**

## Week 6. Migration: A Million Chinese Migrants?

<u>Film</u>: *When China Met Africa* – we will be screening this film in our classroom, 12:30 to 2:00, i.e. during lunch – bring a sandwich and come see it.

Guest speaker: Dr. Yoon Jung Park

Bloomberg Business Week: "<u>Namibia Will Ban Chinese from Investment in Beauty Salons</u>," BBC. "<u>Tanzania Orders Chinese Out of Dar es Salaam Market</u>," January 7, 2011.

## March 2

- Dobler, Gregor. 2009. "Chinese Shops and the Formation of a Chinese Expatriate Community in Namibia," *The China Quarterly* (Sep., 2009) 199, 707-727.
- Park, Yoon Jung. 2009. "<u>Chinese Migration in Africa</u>," Occasional Paper No. 24, China in Africa Programme, South African Institute for International Affairs. Johannesburg: SAIIA. January.
- Schmitz, Cheryl Mei-ting. 2014. "Significant Others: Security and Suspicion in Chinese– Angolan Encounters," *Journal of Current Chinese Affairs*, 43, 1, 41–69.
- Giese, Karsten. 2013. "Same-Same but Different: Chinese Traders' Perspectives on African Labor." *The China Journal* 69 (2013): 134-153.
- Haugen, Heidi Ø. 2012. "Nigerians in China: A second state of immobility," *International Migration*, 50, 2: 65–80.
- Marfaing, Laurence & Alena Thiel. 2014. "Demystifying Chinese business strength in urban Senegal and Ghana: structural change and the performativity of rumours," *Canadian Journal of African Studies* 48, 3: 405-423.

- Haugen, Heidi Østbo and J. Carling. 2005. "On the edge of the Chinese diaspora: The surge of baihuo business in an African city," *Ethnic and Racial Studies* 7, 1: 639-662.
- McNamee, T, *et. al.* 2013. "<u>Africa in Their Words</u>: A Study of Chinese Traders in South Africa, Lesotho, Botswana, Zambia and Angola." Discussion Paper. The Brenthurst Foundation.
- Huynh, TT, Park, YJ, and Chen, AY. 2010. "Faces of China: New Chinese Migrants in South Africa, 1980s to Present," *African and Asian Studies*. Vol. 9, pp 286-306.
- Dittgen, Romain. 2010. "From Isolation to Integration? A Study of Chinese Retailers in Dakar," South Africa Institute of International Affairs. March.
- Sylvanus, Nina. 2013. "Chinese Devils, the Global Market, and the Declining Power of Togo's Nana-Benzes," *African Studies Review*, 56, 1, pp 65-80.

#### Week 7: Labor, Environment, and Corporate Responsibility Challenges

#### March 9

- <u>CSR Roundtable Small Group Work</u>: We will divide the class into four groups in the second half of class. Instructions can be found on Blackboard.
- Tan-Mullins, May. 2014. "Successes and Failures of Corporate Social Responsibility Mechanisms in Chinese Extractive Industries," in: *Journal of Current Chinese Affairs*, 43, 4, 19-39.
- Ministry of Commerce of the People's Republic of China Ministry of Environmental Protection of the People's Republic of China. 2013. "Guidelines for Environmental Protection in Foreign Investment and Cooperation," February 18, 2013 http://english.mofcom.gov.cn/article/policyrelease/bbb/201303/20130300043226.shtml
- Pegg, Scott. 2012. "Social responsibility and resource extraction: Are Chinese oil companies different?" *Resources Policy* 37, no. 2 (June 2012): 160-166.
- Bulzomi, Anna. 2013. "Practice What You Preach: Theory and Practice of China National Petroleum Corporation (CNPC)'s Stakeholder Engagement Plan in Chad," Antwerp: IPIS, Bread for the World, Groupe Tchad, December.

Kernan, Antoine and Katy N. Lam. 2014. "Workforce localization among Chinese State-Owned Enterprises (SOEs) in Ghana," *Contemporary China*, pp. 1053-1072.

Hensengerth, Oliver. 2013. "Chinese hydropower companies and environmental norms in countries of the global South: the involvement of Sinohydro in Ghana's Bui Dam," *Environment, Development and Sustainability*, 15, 2: 285-300.

We will come back to these issues later in the class, in the context of several sectors (agriculture, mining, etc.)

#### Recommended for further reading:

- Kidane, Won and Weidong Zhu. (2014) "China-Africa Investment Treaties: Old Rules, New Challenges," *Fordham International Law Journal*, 37 (4) May, pp. 1035-1085.
- "China/Africa: the next big plan," in *Africa-Asia Confidential*, 4, 2, December 2010 (about CSR) Asanzi, Joseph, L. Putzel, D. Gumbo, and M. Mupeta. (2014) "Rural Livelihoods and the
- Chinese Timber Trade in Zambia's Western Province," *International Forestry Review*, 16 (4), 447-458.
- Yufang Gao and Susan G. Clark. (2014) "Elephant Ivory Trade in China: Trends and Drivers," Biological Conservation, 180, pp. 23-30.
- Greenpeace. (2015) "Scam on the African Coast: The Hidden Face of Chinese and Joint-Venture Tonnage Fraud in Senegal, Guinea Bissau and Guinea," May, pp. 1-31.
- Belligoli, Serena. (2010) "EU, China and the Environmental Challenge in Africa: A Case Study from Timber Industry in Gabon," *Studia Diplomatica*, 63 (3-4), pp. 5-22.
- Mackenzie, Catherine. 2006 "Forestry Governance in Zambezia, Mozambique: Chinese Takeaway," Report for FONGZA. read summary pp. vi-ix and Boxes 1, 2, 3, 4.
- Cerutti, P. O, S. Assembe-Mvondo, L. German and L. Putzel. 2011. "Is China Unique? Exploring the Behavior of Chinese and European Firms in the Cameroonian Logging Sector," *International Forestry Review*, 13, 1: 23-34
- Sautman, Barry and Hairong Yan. "Bashing 'the Chinese': contextualizing Zambia's Collum Coal Mine shooting." Journal of Contemporary China 23.90 (2014): 1073-1092.

## March 16: No class/Spring Break

#### Week 8. Agriculture

## March 23

Brautigam, Deborah. 2015. *Will Africa Feed China*? New York: Oxford University Press (read entire book)

Recommended for further reading:

- Buckley, Lila. 2013. "Chinese Land-Based Interventions in Senegal," *Development and Change* Special Issue: Governing the Global Land Grab: The Role of the State in the Rush for Land, 44, 2, pp. 429–450.
- Chen, Kevin Z., Claire Hsu and Shenggen Fan. (2014) "Steadying the Ladder: China's Agricultural and Rural Development Engagement in Africa," *China Agricultural Economic Review*, 6 (1), pp. 2-20.

Buckley, Lila. 2011. "Eating Bitter to Taste Sweet: An Ethnographic Sketch of a Chinese Agriculture Project in Senegal."

## Week 9. Mining

#### March 30

- The Economist, "Canada's Natural-Resource Companies: Reputation Management," *The Economist*. November 22, 2014, p. 63.
- The Economist, "Mining and Corruption: Crying Foul in Guinea," *The Economist*. December 6, 2014.
- Scott, Douglas. 2012. "Do Chinese Extraction (Mining, Oil & Gas) Activities in Africa Overlap with Areas of Conservation Value? *China Monitor*, issue 68 (February), pp. 11-16.
- Africa-Asia Confidential. 2012. "China Loses Bélinga," 5, no. 4 (2012).
- Business Week. 2014. "Gabon Still Seeking Partner to Exploit Belinga Iron Ore Deposit," <u>http://www.businessweek.com/news/2014-02-17/gabon-still-seeking-partner-to-exploit-belinga-iron-ore-deposit</u>
- Irwin, Amos and Kevin P. Gallagher. 2013. "Chinese Investment in Peru: A Comparative Analysis," *The Journal of Environment Development*, 22, 2, pp. 207-234.
- Required Case Study: Zambia Copper Mining:
- Zambia Privatization (I will hand this out in class)
- Human Rights Watch. 2011. "Zambia: You'll Be Fired if You Refuse." New York, Human Rights Watch, 2011 (read executive summary and skim the rest)
- Yan, Hairong and Barry Sautman. 2013. "The Beginning of a World Empire"? Contesting the Discourse of Chinese Copper Mining in Zambia," *Modern China*, 2013.

Recommended for further reading:

- Janssen, Johanna. 2011. "The Sicomines Agreement: change and continuity in the Democratic Republic of Congo's international relations, SAIIA Paper Series, October 2011
- Janssen, Johanna. 2013. "The Sicomines Agreement Revisited: Prudent Chinese Banks and Risk-Taking Chinese Companies," *Review of African Political Economy* 40, 135: 152-162.
- Marysse, Stefaan and Sara Geenen. "Win-win or unequal exchange? The case of the Sino-Congolese cooperation agreements." *The Journal of Modern African Studies* 47.3 (2009): 371-396.
- Shelton, Garth and Claude Kabemba. (2012) *Win-Win Partnership? China, Southern Africa and the Extractive Industries.* Johannesburg: Southern Africa Resource Watch. read chapters as interested.
- Burgess, Stephen and Janet Beilstein. 2013. "This Means War? China's Scramble for Minerals and Resource Nationalism in Southern Africa," *Contemporary Security Policy* 34, 1, pp. 120-143.

## Week 10: Oil

## April 6

Ferguson, James. 2005. 'Seeing Like an Oil Company: Space, Security, and Global Capital in Neoliberal Africa', *American Anthropologist*, 107, 3, 377–382. Read for the comparative context.

- Downs, E. 2007. "The Fact and Fiction of Sino-African Energy Relations," *China Security*, 3, 3, Summer: 42-68.
- Meyer, Nathan William. 2012. "<u>China's Dangerous Game: Resource Investment and the Future</u> <u>of Africa</u>." *International Policy Digest*. October 9, 2012.
- <u>Angola Case</u>: Vines, A., Wong, L., Weimer, M. and Campos, I. 2009. "<u>Thirst for African Oil</u>: Asian national oil companies in Nigeria and Angola," London: Chatham House.
- <u>Angola Case</u>: U.S. Energy Administration. "Country Analysis Brief: Angola," <u>http://www.eia.gov/beta/international/analysis\_includes/countries\_long/Angola/angola.pdf</u> Read to see Chinese engagement in Angola in comparative context
- <u>Chad Case</u>: Dittgen, Romain. 2012. "China's Growing Involvement in Chad: Escaping Enclosure?" SAIIA Occasional Paper No. 116 (May): 1-26 <u>www.saiia.org.za/images/stories/pubs/occasional\_papers\_above\_100/saia\_sop\_116\_dittgen\_</u> large\_20120608.pdf
- Extractive Industries Transparency Initiative (EITI). 2015. "Chinese Companies Reporting in EITI-Implementing Countries," pp. 1-11. <u>https://eiti.org/files/Chinese-companies-reporting-in-EITI-implementing-countries.pdf</u>

Global Witness, China and Congo: Friends in Need, March 2011.

"China in Africa: the Case of Sudan." Harvard Business School Case 9-308-060, April 2008. Moran, Theodore H. "China's Strategy to Secure Natural Resources: Risks, Dangers, and

- Opportunities," Policy Analyses in International Economics 92, Peterson Institute for International Economics, July 2010. chs. 1-3 and ch. 5 [very short & available online]
- Michael W. Hansen, Lars Buur, Ole Therkildsen and Mette Kjær, "The political economy of local content in African extractives: lessons from three African countries."

## Week 11. Manufacturing

## April 13

- Kaplinsky, Raphael. 2008. "What Does the Rise of China Do for Industrialization in Sub-Saharan Africa?" *Review of African Political Economy*, 35, 115, pp. 7-22.
- Brautigam, Deborah. 2011. Ch. 7 "Flying Geese," and Ch. 8 "Asian Tsunami" in *The Dragon's Gift*.
- Wild, Herman. 2009. "South Africa's Textile and Clothing Industry: Reflecting on Two Years of Quotas on Textile and Clothing Imports," *The China Monitor* (February), pp. 13-16.
- Bräutigam, Deborah and Tang Xiaoyang. 2014. "'Going Global in Groups': Structural Transformation and China's Special Economic Zones Overseas," *World Development*
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## Week 12. Politics, Soft Power, Security Issues and Public Opinion April 20

Guest Speaker (TBC)

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# **III: RESEARCH PRESENTATIONS**

# Week 13. Student Research Panel Presentations April 27

Please note: We will start this class session at 12:30 with pizza!

**These Readings are Recommended for Further Reading/Research and Presentations**. See also the extensive China-Africa bibliography prepared by Ambassador David Shinn: https://www.scribd.com/doc/295820013/China-Africa-Relations-a-Bibliography

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